



Partner

James L. Kauffman

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James L. Kauffman concentrates his practice on complex business litigation and class actions specifically in the areas of consumer protection, business litigation, and securities. James has represented consumers, investors, state attorneys general, municipalities, and whistleblowers in a wide variety of disputes in both court and arbitration forums across the country.

Notably, James represented shareholders in one of the largest securities litigation matters in history, *In re Initial Public Offerings Security Litigation* (21 MC 92) (SDNY), a case that involved 309 tech-bubble IPOs and 55 investment brokerage defendants and recovered \$586 million.

James regularly speaks at business tort seminars across the country and also on nationally syndicated radio and television shows. He covers topics such as financial industry regulation, consumer fraud, ERISA, and whistleblower protection.

He is also actively involved in the community and provides pro bono legal services to Laugh for Sight, a non-profit organization that raises money for eye disease research through comedy benefits in Los Angeles and New York City.

Government Service / Previous Employment

Financial Advisor, Morgan Stanley (1999)

Practice Areas

Appellate and Supreme Court Practice

Arbitration & Dispute Resolution

Business & Finance

Class Actions
Commercial Litigation
Consumer Litigation
ERISA, Employee Benefits & Trust Litigation

Education

J.D., University of Florida Fredric G. Levin College of Law, 2002, *cum laude*
B.S.B.A., University of Florida, 1998

Admissions

District of Columbia
Florida
Arkansas
US Court of Appeals for the Ninth Circuit
US Court of Appeals for the Eleventh Circuit
US District Court, Northern District of Florida
US District Court, Southern District of Florida
US District Court, Middle District of Florida
US District Court, Eastern District of Arkansas
US District Court, Western District of Arkansas
US District Court, Eastern District of Michigan

Representative Matters

- Obtained \$19.8 million recovery of employees' retirement benefits from trustee and individual officers of a closely held private company
- Represented a borrower challenging estimated attorney's fees tacked onto his mortgage loan in *Prescott v. Seterus*, a case that involved two separate appeals to the US Court of Appeals for the Eleventh Circuit; work resulted in two significant appellate opinions that strengthened nationwide consumer debt collection law and led to industry-wide reform, and this precedent paved the way for several recoveries for borrowers from their banks or loan servicers where James served as class counsel
- Obtained \$586 million recovery for shareholders against 309 IPO companies and 55 investment banks in one of the largest securities fraud litigations in history

- Obtained \$20 million recovery for shareholders against semiconductor supplier company in connection with the backdating of employee stock option grants
- Represented shareholders against certain officers and directors who participated in a massive Medicare fraud. Resulted in significant corporate reforms and removal of CEO, CFO and General Counsel

News & Insights

Bailey Glasser Wins Appeal Benefiting Thousands of Maryland Mortgage Borrowers
01.20.2022

Bailey Glasser Wins Class Certification for Tens of Thousands California Mortgage Borrowers
11.16.2021

Bailey Glasser Receives Final Approval on \$6.25 Million Settlement with Reliance Trust to End ERISA Class Action
08.06.2020

Bailey Glasser Announces Promotions for 2020
02.04.2020

Monavie – Jessop v. Larson Settlement
08.06.2018

Judge Allows Class Action Lawsuit Against Credit Suisse Mortgage Unit To Proceed
04.06.2017

Flood Victims Granted Class Action Status In Lawsuit Against International Paper Co.
03.28.2017

Bailey Glasser Seeks Preliminary Approval of \$19.8 Million ESOP Class Action Settlement
11.16.2016

\$19.8 Million ESOP Settlement Negotiated In MonaVie Case
08.23.2016

Bailey Glasser Wins Class Certification on Behalf of Mona Vie Employee Stock Ownership Plan Participants
12.11.2015

Washington State Supreme Court Unanimously Decided in Bailey Glasser Client's Favor
12.10.2015